

## ASIAN LAW COLLEGE, NOIDA

### RESEARCH PROMOTION POLICY 2016-2020

#### I.OBJECTIVES

The objectives of policy are as follows:

- i) To promote research, innovation and intellectual capital,
- ii) To ensure integrity, quality and ethics in research,
- iii) To integrate teaching and research through translational and instructional research, and;
- iv) To encourage the generation of intellectual capital.

#### II. PROMOTION OF RESEARCH

##### Training for Research and Publications

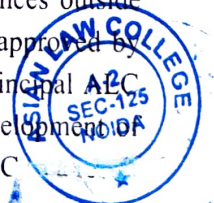
The intentions of faculty to conduct research are many a time not converted into fruitful outcomes because of the lack of research skills and expertise to write a research proposal or research papers. Due to insufficient knowledge about how to write a paper and under which format to submit it, sometimes the papers are not accepted. Therefore, ALC shall organize rigorous training programmes for researchers in the identified areas.

##### Incentives / Facilitation for attending Conferences, Seminars, FDPs, etc.

i) Faculty can avail maximum of 6 days On Duty (OD) leave in an academic year for presenting papers in International Conferences, Seminars, etc. For paper presentation in national conferences, seminars, etc. maximum of 3 days OD leave can be availed in a semester not amounting to more than 6 OD leave in a year for this purpose in case of both international and national paper presentations taken together. Approval of leave from Principal ALC is mandatory.

ii) Maximum 6 days On Duty Leave can be availed after approval from Principal ALC for attending one- week Faculty Development Programs during internship break after even semester when classes are not running. These 6 OD will be additional to the leave mentioned in point 1 above. No OD can be availed for FDPs of less than one week duration. **However, on duty leave for FDP upto four weeks may be allowed in special cases where the Research Committee deems it is essential for desired outcome to be achieved and that it will lead to a significant development of the faculty and institute.**

iii) The expenses towards registration fee, travel and stay for Conferences, FDPs, etc. would be reimbursed upto a maximum of Rs. 10,000/- for national and Rs.25,000/- for international conference paper presentation outside India in an academic year. Reimbursement will be given for paper presentations at International Conferences outside India or Conferences at IIMs, IITs and other institutes of repute in India as approved by Research Committee at ALC. Reimbursement for FDPs will be approved by Principal ALC on the basis of relevance and outcome in terms of effective professional development of faculty after undergoing the FDP and enhanced contribution of the faculty to ALC.



### **Targets for Research and Publications**

ALC would like to encourage quality research in different thrust areas. Following annual research targets are being aimed at through Research & Development Initiatives at ALC for each academic year (July to June):

i) Every faculty must publish at least two papers per year in reputed journals. One paper per semester must be submitted for publication and proof of acceptance indicating affiliation of author with ALC

Law College must be submitted to Chairperson, Research & Development Cell. Papers not mentioning the affiliation with ALC will not be considered as faculty publication. One self-attested copy of publication must be submitted to R&D Cell within 7 days of publication.

ii) Two books in a year must be published by ALC faculty.

iii) One Bi annual journal and one edited book must be published by Asian Law College in every academic year.

iv) At least one funded research project must be taken up every year.

Major Research Projects: R&D Cell will identify a list of funding agencies to which faculty can submit research project proposals. These proposals will be scrutinized by the research committee before submitting the same to a funding agency. ALC provides all kinds of infrastructure facilities available for conducting a Major Research Project. ALC plans to evolve a mechanism through which it shall monitor the progress of Major Research Projects funded by an external agency, maintain its accounts and submit the utilization certificate in time to the funding agency as per the requirement of the funding agency.

Collaborative Research Projects: Institute-Industry Interaction is the need of the hour today. Research & Development Cell at ALC plans to closely work with industries and different organisations through the Corporate Resource Cell (CRC). Industries and business organisations are facing different kind of problems and many of them would like to have the help from ALC with an aim to find the solutions to their problems. For this, Industry Sponsored Research Project can be undertaken in which an organisation can sponsor a project and the experts of the institution concerned can conduct research on this project for which the financial support will come from the organisation. This will lead to three kinds of benefit: **a) the researcher will get exposure to the concerned area of research; b) the industry will get solutions to its problem; c) the researcher, institution and industry can earn revenue; and d) the society will benefit from the research output.**

v) At least one consultancy project must be taken up every year.

vi) At least one FDP and Faculty Induction Program / Refresher Course must be conducted every year with focus on corporate participation which develops into long term association.

vii) Every faculty must develop one teaching case per semester and should get at least one case published in a year.

### **Incentives for Outstanding Research and Publications\*\***

*For promoting quality research, outstanding research contributions done by faculty shall be recognised through incentives as mentioned in Annexure I*

## **Regulations for Division & Distribution of Incentive Amount**

Case 1: If all the authors are amongst ALC faculties, then first author will get 60% of the incentive amount and rest will be distributed equally among the other authors.

Case 2: If the authors are amongst ALC faculties and ALC students, then 75% of the incentive amount will be awarded to the faculty and 25% to the students. In case of more than one faculty or student being the authors, the amount will be distributed as per the recommendation of the first author regarding the contribution of each author.

Case 3: If one (or more) of the author/s is/are external to ALC, then the incentive amount will be divided by total number of authors and the equal part (one share) of the total amount will be disbursed to the contributors from ALC. The prize amount of the external author/s will be subtracted from the total amount.

### **a) Incentive in terms of Awards**

Best Researcher Award to be given annually on the basis of evaluation and recommendation by Research Committee.

### **b) Incentive in terms of weightage in performance appraisal**

Career advancement and annual increment in salary would be based on publications along with teaching and administrative responsibilities with 50% weightage to research publications. Increment and promotion may be withheld for faculty not exhibiting serious efforts towards excelling in research. Papers published in peer reviewed journals other than those listed in Scopus or ABDC will be considered as publication in other refereed journals but will not be eligible for monetary incentives.

## **RESEARCH MISCONDUCT**

ALC believes that the occurrence of misconduct is a threat to the basic principles of research. ALC defines research misconduct, as any fabrication, falsification or plagiarism in proposing, performing or reviewing research or in the reporting of research results. Research misconduct does not include an honest error or differences of opinion, authorship disputes that do not involve plagiarism.

### **Levels of Plagiarism and Sanctions**

Level One pertains to the uncredited verbatim copying of a full paper, or the verbatim copying of a major portion (> 50%), or verbatim copying within more than one paper by the same author(s).

Level Two pertains to the uncredited verbatim copying of large portion (between 20-50%) or verbatim copying within more than one paper by the same author(s).

Level Three pertains to the uncredited verbatim copying of individual elements (paragraph(s), sentence(s), illustration(s), etc.) resulting in a significant portion (10- 20%) within a paper.

Level Four pertains to uncredited improper paraphrasing of pages or paragraphs.

Level Five pertains to the credited verbatim copying of a major portion of a paper without clear delineation (e.g., quotes or indents).

As a policy, level 1 and level 2 are not acceptable under any circumstances and for faculty found involved in plagiarism at level 1 and 2, annual increment will be withheld and if faculty is on probation as a new joiner, the probation period will be extended for a suitable period of time. This sanction will be imposed on the concerned faculty irrespective of whether it was a first time or a repeat offence. In addition to the above, any other punitive measure may be imposed. In case of plagiarism at level 3, 4 and 5 for the first time, a one-time written warning will be given, and the faculty may be suitably counselled. In addition to the above

sanctions, all 'such' papers shall stand withdrawn from the list of faculty publications and Institute website. A copy of the tainted paper(s) along with the sanction imposed will be filed in the personal file of the concerned faculty.

The policy changes shall be implemented with effect from 1<sup>st</sup> August 2016. Research Promotion Policy 2016-2019 will remain effective till 1<sup>st</sup> January 2020.

### ANNEXURE-I

#### Guidelines for Incentives\* to Faculty Members for Accelerating Research

Note: \* The corresponding Incentive percentages are applicable only to ALC faculty members.

<b>5.1 Incentives for External Grants for Research and Establishment of Research Centers</b>			
Sl. No.	Type of Grant	Incentive to PI (Rs.)	Note
1	Research Projects	10% of the sanctioned grant	In case of Co-PI(s), 5% of the sanctioned grant is for the PI and the remaining 5% will be shared equally among the Co-PI(s)
2	Infrastructure Developmental Projects	5% of the sanctioned grant	In case of Co-PI(s), 2.5% of the sanctioned grant is for the PI and the remaining 2.5% will be shared equally among the Co-PI(s)
<b>5.2 Incentives for Journal Publications</b>			
Sl. No.	Journal Quality	Single Author Incentive (Rs.)	Multiple Author Incentive (Rs.)
1	A*/Top 25 Q1	50,000/-	In case of Co- Authors, 50% of the Single Author incentive is for the first author and remaining 50% will be shared equally among the other Co-Authors
2	A/remaining Q1/Top 25 Q2	25,000/-	
3	B/remaining Q2/Top 25 Q3	15,000/-	
4	C/remaining Q3/Scopus/WoS, CARE	5,000/-	
<b>5.2 Incentives for Books</b>			
Sl. No.	Books Publisher	Single Author Incentive (Rs.)	Multiple Author Incentive (Rs.)
1	National	10,000/-	In case of Co- Authors, 50% of the Single Author incentive is for the first author and remaining 50% will be shared equally among the other Co-Authors
2	International	15,000/-	
<b>5.2 Incentives for Book Chapters</b>			
Sl. No.	Books Chapter Publisher	Single Author Incentive (Rs.)	Multiple Author Incentive (Rs.)
1	National/International	5,000/-	In case of Co- Authors, 50% of the Single Author incentive is for the first author and remaining 50% will be shared equally among the other Co-

			Authors
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**Research Incentives for Ph.D. Scholars.**

Research scholars are encouraged to keep abreast with latest know how of research knowledge and dissemination of their research outcomes by attending workshops/seminars/symposium /conferences at National and International levels.

Research Scholars are entitled for contingency grant as per the University Research Fellowship based on recommendation as per the Research Policy to be approved by Chairperson- RDC.

Research Scholars should submit the following documents for seeking approval prior to attending the Event.

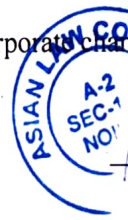
- i. Acceptance Letter and Event Brochure
- ii. Copy of Abstract with Full Paper (Accepted Version)
- iii. Invitation Letter
- iv. Estimated Expenditure
- v. A sanction letter from the Chairperson- RDC shall be issued to the successful applicant.

After the event, the following documents need to be furnished by the research scholar to the office of Chairperson- RDC For final settlement of accounts, the following documents to be submitted to the Accounts:

- i. Travel Documents
- ii. Proof of participation
- iii. Proof of Expenditure
- iv. Brief Report on the event Attended and Benefits to the institution.

**AMENDMENTS**

This policy will be reviewed periodically to rectify anomalies, and to incorporate changes if any, by the Principal of Asian Law College (Chairperson-R&D Cell).



*[Signature]*  
 (Principal-  
 ALC)  
 Chairperson-  
 R&D Cell, ALC